# HAWAII STATE ETHICS COMMISSION
# DISCLOSURE OF FINANCIAL INTERESTS (LONG FORM)

| NAME (Last, First, Middle) | STATE POSITION HELD: (Dept/Div or Board/Commission) |
|---|---|
| LOPEZ, Francis J. | Deputy Director for Corrections<br>Department of Public Safety/Corrections Div.<br>TERM OF OFFICE (Begin/End):<br>01/27/2003 / 12/04/2006 |

**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**
USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

## ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source (the term "source" also includes any state or other government agencies) and amount of all income of $1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

| F,SP,DC,JT | NAME AND ADDRESS OF SOURCE OF INCOME | AMOUNT | SERVICES RENDERED |
|---|---|---|---|
| F | State of Hawaii, Dept. of Public Safety<br>Deputy Director for Corrections<br>919 Ala Moana Blvd., Room 400<br>Honolulu, HI 96814 | E | Salary/Management |
| F | AJ Lopez Sons, Inc.<br>P.O. Box 17776<br>Honolulu, HI 96817 | C | Treasurer/Director |
| SP | State of Hawaii, Dept. of Education<br>Palolo Elementary<br>2106 10th Avenue<br>Honolulu, HI 96816 | C | Salary/Account Clerk) |

[ ]Check here if entry is None [ ]Check here if additional sheets are attached

## ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of $5,000 or more or is equal to 10% or more of the ownership of the business.

| F,SP, DC,JT | BUSINESS NAME AND ADDRESS | NATURE OF BUSINESS | NATURE OF INTEREST | VALUE OR NO. OF SHARES |
|---|---|---|---|---|
| F | AJ Lopez Sons, Inc.<br>P.O. Box 17776<br>Honolulu, HI 96817 | Real Estate Rentals | Shareholder | 45 shares |
| SP | AJ Lopez Sons, Inc.<br>P.O. Box 17776<br>Honolulu, HI 96817 | Real Estate | Shareholder | 14 shares |

[ ]Check here if entry is None [ ]Check here if additional sheets are attached

## ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

| F,SP, DC,JT | OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD | DATE OF TRANSFER |
|---|---|---|
| | None | |

[X]Check here if entry is None [ ]Check here if additional sheets are attached

## ITEM 4: CREDITORS

List the name of each creditor to whom the value of $3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

| F,SP, DC,JT | NAME OF CREDITOR | ORIGINAL AMOUNT OWED | AMOUNT OUTSTANDING |
|---|---|---|---|
| | None | | |

[X]Check here if entry is None [ ]Check here if additional sheets are attached

## ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

| F,SP, DC,JT | NAME AND ADDRESS OF BUSINESS | TITLE HELD | TERM OF OFFICE | ANNUAL COMPENSATION |
|---|---|---|---|---|
| F | AJ Lopez Sons, Inc.<br>P.O. Box 17776<br>Honolulu, HI 96817 | Treasurer/Director | 01/01/2005 to 12/31/2008 | C |

[ ]Check here if entry is None [ ]Check here if additional sheets are attached

## ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of $10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP, DC,JT | STREET ADDRESS | TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS) | VALUE |
|---|---|---|---|
| | None | | |

[X]Check here if entry is None [ ]Check here if additional sheets are attached

## ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of $10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP, DC,JT | STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS) | AMOUNT & NATURE OF CONSIDERATION PAID | NAME OF PERSON RECEIVING THE CONSIDERATION |
|---|---|---|---|
| | None | | |

[X]Check here if entry is None [ ]Check here if additional sheets are attached

## ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of $10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP, DC,JT | STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS) | AMOUNT & NATURE OF CONSIDERATION RECEIVED | NAME OF PERSON FURNISHING THE CONSIDERATION |
|---|---|---|---|
| | None | | |

[X ]Check here if entry is None [ ]Check here if additional sheets are attached

## ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

| NAME OF CLIENT | NAME OF STATE AGENCY |
|---|---|
| **None** | |

**[X ]Check here if entry is None** **[ ]Check here if additional sheets are attached**

## ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of $5,000 or more.

| F,SP,DC,JT | NAME AND ADDRESS OF BUSINESS | NATURE OF BUSINESS | NATURE OF INTEREST | VALUE |
|---|---|---|---|---|
| | **None** | | | |

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STATE OF HAWAII
STATE ETHICS COMMISSION

**[X ]Check here if entry is None** **[ ]Check here if additional sheets are attached**

**CERTIFICATION:** I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge and belief. If I have a spouse and/or dependent children, I also hereby certify that I have included their interests on this form to the best of my knowledge and belief. I understand that it is a violation of State law, chapter 84, HRS, if information is not disclosed as required by chapter 84, HRS. I further understand that there are statutory penalties for noncompliance.

Signature Block

SIGNATURE

5·25·06
DATE