HAWAII STATE ETHICS COMMISSION
DISCLOSURE OF FINANCIAL INTERESTS (FORM D-201)

HAWAII STATE ETHICS COMMISSION
1001 Bishop Street, Pacific Tower Suite 970
P.O. Box 616
Honolulu, Hawaii 96809
Telephone: 587-0460 Fax: 587-0470
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For Office Use Only
Rev. 12/01
DATE REC'D: 07/11/2003 FILE NO.: 03-2D-10429

U.H.

IMPORTANT: Please read instructions carefully before filling out this form.

FULL NAME (Last, First, Middle)	SPOUSE'S FULL NAME (Last, First, Middle)
McCLAIN, David Stanley	McCLAIN, Wendie Kastler

DEPENDENT CHILDREN'S FULL NAMES (Last, First, Middle)
1. McCLAIN, Emily Meghan
2. McCLAIN, Jenna Alexandra

RESIDENCE ADDRESS
[redacted]

MAILING ADDRESS
[redacted]

BUSINESS TELEPHONE	STATE DEPARTMENT/DIVISION OR BOARD/COMMISSION	
956-9704	University of Hawaii System	
RESIDENCE TELEPHONE	STATE POSITION HELD	TERM OF OFFICE:
[redacted]	Vice President for Academic Affairs Interim Vice President for Research	Begin: 07/01/03 renewable End: 06/30/04 annually

FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.
USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source (the term "source" also includes any state or other government agencies) and amount of all income of $1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

F,SP,DC,JT	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
F*	First Insurance Company of Hawaii 1100 Ward Avenue, Honolulu, HI 96814	B	Director
F	Babson-United 400 Talcott Ave, Watertown, MA 02472	C	Director
F	Alexander and Baldwin 822 Bishop St, Honolulu, HI 96813	B	Management Consulting
F	ML Resources P.O. Box 1826, Papaikou, HI 96781	C	Director
SP	Hawaii Literacy 200 N. Vineyard Blvd, #320, Hnl, 96817	B	Interim Exec Director
DC(1)*	Abercrombie and Fitch Faneuil Hall, Boston, MA	B	Manager in Training
DC(1)	Crossroads for Kids 742 Keene St, Duxbury, MA 02332	B	Summer Camp Staff Member
DC(1)	Bates College Lewiston, ME 04240	A	Admissions Counselor Teaching & Research Asst.
DC(2)*	Illegal Pete's, College Avenue Boulder, CO 80309	A	Restaurant Worker

[ ]Check here if entry is None *2003 only [ ]Check here if additional sheets are attached

ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business incorporated, regulated, or licensed to carry on business in the State if the interest has a value of $5,000 or more or is equal to 10% or more of the ownership of the business.

F,SP, DC,JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES

[✓]Check here if entry is None [ ]Check here if additional sheets are attached

ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER

[✓]Check here if entry is None [ ]Check here if additional sheets are attached

ITEM 4: CREDITORS

List the name and address of each creditor to whom the value of $3,000 or more was owed during the disclosure period and the original amount and amount outstanding (excluding debts arising out of retail transactions or the purchase of consumer goods).

F,SP, DC,JT	NAME OF CREDITOR AND ADDRESS	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
JT	Bank of Hawaii, Honolulu, HI	H	zero
JT	First Hawaiian Bank, Honolulu, HI	J	J
F	Nationwide Financial, Columbus, OH 43216	C	zero

[ ]Check here if entry is None [ ]Check here if additional sheets are attached

ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F	First Insurance Co of Hawaii 1100 Ward Ave, Honolulu 96814	Director	Annual	B
F	Babson-United 400 Talcott Avenue Watertown, MA 02472	Director	Annual; Concluded 11/2002	C
F	ML Resources P.O. Box 1826, Papaikou 96781	Director	Annual	C
F	Please see attached list for non-profit and other uncompensated boards of directors and advisory boards			
SP	Hawaii Literacy	Chair, Board of Directors	2 years, concludes 12/2003	none

[ ]Check here if entry is None [x]Check here if additional sheets are attached

ITEM 6: INTERESTS IN REAL PROPERTY HELD IN THE STATE

List interests in real property in the State, held during the disclosure period, if the interest has a value of $10,000 or more.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER	VALUE
JT	1085 Kaluanui Road Honolulu, HI 96825 (transferred January 2003)	1/3-9-76-65	H
JT	84 Laiki Place Kailua, HI 96734 (acquired January 2003)	1/4-3-019-028	J

[ ]Check here if entry is None [ ]Check here if additional sheets are attached

ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED

List interests in real property in the State, acquired during the disclosure period, if the interest has a value of $10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
JT	84 Laiki Place Kailua, HI 96734 1/4-3-019-028	J cash	David and Lisa Jacobs

[ ]Check here if entry is None [ ]Check here if additional sheets are attached

ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED

List interests in real property in the State, transferred during the disclosure period, if the interest has a value of $10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION
JT	1/3-9-76-65 1085 Kaluanui Road Honolulu, HI 96825	I Cash	Keith Camacho & Karen Thompson

[ ]Check here if entry is None [ ]Check here if additional sheets are attached

ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY

[✓]Check here if entry is None [ ]Check here if additional sheets are attached

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ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of $5,000 or more.

F,SP,DC,JT	NAME AND ADDRESS OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE

[✓]Check here if entry is None [ ]Check here if additional sheets are attached

CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge and belief. If I have a spouse and/or dependent children, I also hereby certify that I have included their interests on this form to the best of my knowledge and belief. I understand that it is a violation of State law, chapter 84, HRS, if information is not disclosed as required by chapter 84, HRS. I further understand that there are statutory penalties for noncompliance.

David L. McClain 7/9/03

SIGNATURE DATE

David S. McClain
Disclosure of Financial Interests
Question 5

Offices/Positions held on Non-Profit and Other Boards of Directors & Advisory Boards

Name	Title	Compensation	Term of Office
Chamber of Commerce of Hawai'i	Director	None	Three years
Enterprise Honolulu	Director	None	Three years
Friends of the East-West Center	Director	None	Three years
Hawai'i Council for Economic Education	Director	None	Three years
Hawai'i Literacy	President, Board of Director	None	Two years
Hawai'i Technology Trade Association	Director	None	Three years
Hawai'i Public Radio	Director	None	Three years
Japan-America Society of Hawai'i	Director	None	Three years
Kakaako Technology Triangle Initiative	Advisor	None	Indefinite
National Association for Business Economics, 1233 20th St. NW, Ste 505 Washington, DC 20036	Director	None	Concluded September 2002
Pacific and Asian Affairs Council	Director	None	Three years
Pacific Business Center	Director	None	Three years
McKinley HS Academy of Finance	Director	None	Indefinite
Hawai'i Institute of Public Affairs	Director	None	Indefinite
Ritsumeikan Asian Pacific University Beppu, Oita Prefecture, Japan	Advisor	None	Indefinite
Rotary Club of Honolulu	Director	None	Concluded June 2003
UH Center for International Business Education and Research	Advisor	None	Four years
Hawai'i Society of Investment Professionals	Director	None	One year
Hawai'i Alliance for Arts Education	Fundraising Campaign Chair	None	Annual
Hawai'i Education Council	Director	None	Annual
Maui Economic Development Board	Director	None	One year

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